



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION  
Docket No. SMBG.P-001

Application of: Hayden, et al. Application No.: 10/009,478  
Filing Date: May 31, 2002 Confirmation No.: 4097  
Title: Modulating Cell Survival by Modulating Huntingtin Function  
Art Unit: 1632

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P O Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant requests that the references cited on the attached substitute form 1449 be included in the record of the above-referenced patent application. Copies of the references are provided herewith.

No fee is believed to be due with this paper as we have not received an action on the merits. However, should it be deemed necessary, the Commissioner is authorized to debit any additional fees deemed due from Deposit Account No. 15-0610.

Respectfully submitted,  
OPPEDAHL & LARSON, LLP

*Marina T. Larson*

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	4
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**Complete if Known**

Application Number	10/009,478
Filing Date	May 31, 2002
First Named Inventor	HAYDEN, Michael
Art Unit	1632
Examiner Name	Deborah Crouch
Attorney Docket Number	SMBG.P-001

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

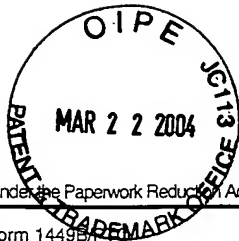
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		Country Code <sup>3</sup>	Number <sup>4</sup> <small>Kind Code<sup>5</sup> (if known)</small>				
	2	EP	0614977	09-14-1994			
	3	WO	99/06545	02-11-1999			
	4	WO	99/60986	12-02-1999			
	5	WO	00/78813	12-28-2000			
	6	WO	01/06989	02-01-2001			
	7	WO	01/09613	02-08-2001			
	8	WO	99/45944	09-16-1999			

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Sheet 2 of 4

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Application Number	10/009478
Filing Date	May 31, 2002
First Named Inventor	HAYDEN, Michael
Art Unit	1632
Examiner Name	Deborah Crouch
Attorney Docket Number	SMBG.P-001

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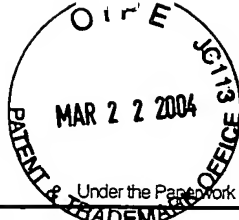
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	9	ANDREW, <i>et al.</i> , <i>Nat. Genet.</i> , (1993), 398-403, 4	
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	14	COOPER, <i>et al.</i> , <i>Hum. Mol. Genet.</i> , (1998), 783-790, 7	
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	21	HACKAM, <i>et al.</i> , <i>J. Cell Biol.</i> , (1998), 1097-1105, 141	
	22	HEISER, <i>et al.</i> , <i>Proc. Nat. Acad. Sci. USA</i> , (2000), 6739-3744, 97	

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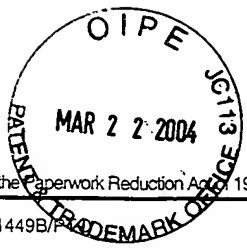
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		Application Number	10/009478		
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		First Named Inventor	HAYDEN, Michael		
		Art Unit	1632		
		Examiner Name	Deborah Crouch		
Sheet	3	of	4	Attorney Docket Number	SMBG.P-001

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	23	HODGSON, <i>et al.</i> , <i>Hum. Mol. Genet.</i> , (1996), 1875-1885, 5	
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